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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0632-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/020,799
	Filing Date	11/30/2001
	First Named Inventor	Siu Man Cowan
	Group Art Unit	1619
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	22112 (3)

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Patricia A. Coburn BattellePharma, Inc. 1801 Watermark Drive, Suite 100 Columbus, OH 43215
Signature	Patricia A. Coburn
Date	May 20, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5-20-02			
Typed or printed name	Pamela Foose		
Signature	Pamela Foose	Date	5-20-02

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ATTORNEY DOCKET NO. 22112

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
MAY 29 2002  
TECH CENTER 1600/2900  
PATENT

Applicant: Siu Man L. Cowan, et.al.	Group Art Unit: 1619
Serial No. 10/020,799	Examiner: Unknown
Filed November 30, 2001	
For: Method for Stabilizing Biomolecules in liquid formulations.	

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**Information Disclosure Statement**  
**Filed Pursuant to 37 CFR §1.97(b)(3)**

As part of Applicants on-going duty of candor and good faith and disclosure set forth in 37 CFR§1.56(a), Applicants hereby submit the following documents:

1. Form PTO/SB/08A – Information Disclosure Statement by Applicants;
2. A copy of each reference listed on Form PTO/SB/08A;
3. Form PTO/SB/17 Fee Transmittal;
4. Authorization to charge fee due to credit card.

Respectfully submitted,

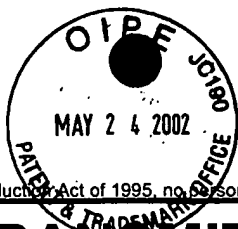
Dated: May 20, 2002By: Patricia A. Coburn  
Patricia A. CoburnRegistration No. 28,594  
Attorney of RecordBattellePharma, Inc.  
1801 Watermark Drive, Suite 100  
Columbus, OH 43215614/340-2358 Office  
614/340-2320 Fax

05/28/2002 MBERHE 00000003 10020799

01 FC:126

180.00 DP

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Pamela Foote



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	10/020,799
Filing Date	11/30/2001
First Named Inventor	Siu Man Cowan
Examiner Name	Unknown
Group Art Unit	1619
Attorney Docket No.	22112 (3)

TOTAL AMOUNT OF PAYMENT

(\$)

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code (\$)	Large Entity Fee	Small Entity Code (\$)	Small Entity Fee	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Code (\$)	Large Entity Fee	Small Entity Code (\$)	Small Entity Fee	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Code (\$)	Large Entity Fee	Small Entity Code (\$)	Small Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					180.00

## SUBMITTED BY

Name (Print/Type)	Patricia A. Coburn	Registration No. (Attorney/Agent)	28594	Telephone	614/340-2358
Signature	<i>Patricia A. Coburn</i>	Date	May 20, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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